



PTO/SB/30 (10-01)

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REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL Address to: Commissioner for Patents Box RCE Washington, DC 20231	Application Number	09/772,986-Conf. #2637
	Filing Date	January 31, 2001
	First Named Inventor	Hisao Hayashi et al.
	Group Art Unit	2811
	Examiner Name	T. F. Tran
	Attorney Docket No.	SON-2010

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114**

a. ☐ Previously submitted

i. ☐ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on _____
(Any unentered amendment(s) referred to above will be entered).

ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____

iii. ☐ Other _____

b. ☒ Enclosed

i. ☒ Amendment/Reply (Preliminary Amendment)

ii. ☐ Affidavit(s)/Declaration(s)

iii. ☐ Information Disclosure Statement (IDS)

iv. ☐ Other _____

2. **Miscellaneous**

a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b. ☐ Other _____

3. **Fees** The RCE fee under 37 CFR 1.17 (e) is required by 37 CFR 1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 18-0013

i. ☒ RCE fee required under 37 CFR 1.17(e)

ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)

iii. ☐ Other _____

b. ☐ Check in the amount of \$ _____ Enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED			
Name (Print/Type)	Ronald P. Kahanen	Registration No. (Attorney/Agent)	24,104
Signature		Date	January 2, 2003

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SON-2010

Ser. No: 09/772,986

RCE #
2800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Hisao HAYASHI et al.) Group Art Unit: 2811
Appl. No.: 09/772,986) Examiner: Tran, Thien F.
Filed: January 31, 2001)
For: THIN FILM SEMICONDUCTOR)
DEVICE, DISPLAY DEVICE)
USING SUCH THIN FILM)
SEMICONDUCTOR DEVICE AND)
MANUFACTURING METHOD)
THEREOF)

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BOX RCE
Commissioner of Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Sir:

Responsive to the final Office Action dated November 12, 2002, (Paper No. 11),
please amend the above-referenced application as follows.

IN THE CLAIMS:

Please rewrite claims 1 and 5 as set forth below in clean form. Additionally, in
accordance with 37 CFR 1.121(c)(1)(ii), amended claims 1 and 5 are set forth in a marked-up
version in the pages attached to this Amendment.

1. (Amended) A thin film semiconductor device comprising:
- an insulating substrate; and
 - a thin film transistor formed on said insulating substrate, wherein
said thin film transistor is formed in a bottom gate structure having gate
electrode, a gate insulating film, and a semiconductor thin film stacked in the order
from below upward, and

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